

<b>California Environmental Protection Agency</b> <b>Air Resources Board</b>	<b>JOHN DEERE POWER SYSTEMS</b>	<b>EXECUTIVE ORDER U-R-004-0516-1</b> New Off-Road Compression-Ignition Engines Page 1 of 2
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Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

**IT IS ORDERED AND RESOLVED:** That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2016	GJDXL06.8309	4.5, 6.8	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Charge Air Cooler, Oxidation Catalyst, Electronic Direct Injection, Electronic Control Module, Exhaust Gas Recirculation, Periodic Trap Oxidizer, Turbocharger, Selective Catalytic Reduction-Urea, Ammonia Oxidation Catalyst			Crane, Loaders, Tractor, Dozer, Pump, Compressor, Generator Set, Other Industrial Equipment	

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			NMHC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
75 ≤ kW < 560	Tier 4 Final	OPTIONAL STD	0.19	0.40	N/A	3.5	0.02	N/A	N/A	N/A
		FEL	--	0.37	--	--	0.01	--	--	--
		CERT	0.02	0.06	--	0.1	0.003	--	--	--

**BE IT FURTHER RESOLVED:** That for the listed engine models, the manufacturer has complied with the more stringent set of standards from the various power categories in conformance with Section 1039.230 (e) of the "California Exhaust Emission Standards and Test Procedures for New 2011 and Later Tier 4 Off-Road Compression Ignition Engines, Part I-D" adopted October 20, 2005 and last amended October 25, 2012.

**BE IT FURTHER RESOLVED:** That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

**BE IT FURTHER RESOLVED:** That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

**BE IT FURTHER RESOLVED:** That the manufacturer has elected to include engine models in this engine family which are identified for "emergency vehicle use only". These "emergency vehicle use only" engines are exempt from requirements imposed pursuant to California law and the regulations adopted pursuant thereto for motor vehicle pollution control devices per California Vehicle Code Section 27156.2. The manufacturer must clearly label these engines for "emergency vehicle use only" on the engines' emission control label.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

**This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.**

This Executive Order hereby supersedes Executive Order U-R-004-0516 dated December 15, 2015.

Executed at El Monte, California on this 16 day of December 2016.



Annette Hebert, Chief  
Emissions Compliance, Automotive Regulations and Science Division

R/C

12/20/2016

EO#: U-R-004-0516-1

## Engine Model Summary Form

Manufacturer: John Deere Power Systems  
 Engine category: Nonroad CI  
 EPA Engine Family: GJDXL06.8309  
 Mfr Family Name: 350HCD  
 Process Code: Running Change

1. Engine code	2. Engine Model	3. kW@RPM (SAE Gross)	4. Fuel Rate: mm/stroke@peak kW (for diesel only)	5. Fuel Rate: (kg/hr)@peak kW (for diesels only)	6. Torque (Nm) @RPM (SEA Gross)	7. Fuel Rate: mm/stroke@peak torque	8. Fuel Rate: (kW/hr)@peak torque	9. Emission Control Device Per SAE J1930
* 4045HE054	4045	116@2200	98.6@2200	26@2200	667@1600	122.6@1600	23.5@1600	EGR ECM PTOX OC SCRC NH3OC DFI TC CAC
* 4045HLC07A	4045	76@2400	62.9@2400	18.1@2400	420@1500	76.1@1500	13.7@1500	EGR ECM PTOX OC SCRC NH3OC DFI TC CAC
6068HWDW95	6068	224@2100	142.4@2100	45.7@2100	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HWDW96	6068	152@2200	96.8@2200	32.6@2200	935@1600	131.6@1600	32.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HWDW97	6068	187@1800	137.8@1800	37.9@1800	1025@1600	145.2@1600	35.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HWDW98	6068	220@1800	161.7@1800	44.5@1800	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HE053	6068	190@2400	111.9@2400	41@2400	1000@1600	140.1@1600	34.3@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HE054	6068	224@2400	128.1@2400	47@2400	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HE055	6068	190@2400	111.9@2400	41@2400	1000@1600	140.1@1600	34.3@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HE056	6068	224@2400	128.1@2400	47@2400	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-A	6068	187@2400	111@2400	40.7@2400	1025@1600	140@1600	34.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-AA	6068	104@2200	70.3@2200	23.6@2200	613@1600	86.5@1600	21.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-B	6068	187@2200	116@2200	39@2200	999@1600	140@1600	34@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-C	6068	168@2400	101@2400	37.2@2400	902@1600	125@1600	30.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-D	6068	168@2400	101@2400	37.2@2400	902@1600	125@1600	30.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-E	6068	168@2200	104@2200	35.1@2200	970@1600	136@1600	33.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-F	6068	168@2000	114@2000	35@2000	1003@1500	140@1500	34.1@1500	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-G	6068	149@2400	92@2400	33.8@2400	801@1600	112@1600	27.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-H	6068	149@2400	92@2400	33.8@2400	801@1600	112@1600	27.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-I	6068	149@2200	96@2200	32.3@2200	873@1600	121@1600	29.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-J	6068	149@2000	101@2000	31@2000	960@1500	135@1500	33@1500	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-K	6068	138@2400	87@2400	32@2400	741@1600	103@1600	25.1@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-L	6068	138@2400	87@2400	32@2400	741@1600	103@1600	25.1@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-M	6068	138@2200	89@2200	30@2200	809@1600	113@1600	27.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-N	6068	138@2000	96@2000	29@2000	890@1500	119@1500	27@1500	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-O	6068	187@2000	125@2000	38.2@2000	1000@1500	140@1500	34.1@1500	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-P	6068	129@2400	81@2400	29.6@2400	687@1600	98@1600	23.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-Q	6068	129@2400	81@2400	29.6@2400	687@1600	98@1600	23.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-R	6068	129@2200	86@2200	28.9@2200	756@1600	108@1600	26.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-S	6068	129@2200	86@2200	28.9@2200	756@1600	108@1600	26.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-T	6068	116@2400	73.8@2400	27@2400	619@1600	87.6@1600	21.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-U	6068	116@2400	73.8@2400	27@2400	619@1600	87.6@1600	21.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-V	6068	116@2200	77.7@2200	26.1@2200	674@1600	96.1@1600	23.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-W	6068	116@2200	77.7@2200	26.1@2200	674@1600	96.1@1600	23.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-X	6068	104@2400	68.2@2400	25@2400	555@1600	79.3@1600	19.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-Y	6068	104@2400	68.2@2400	25@2400	555@1600	79.3@1600	19.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC08-Z	6068	104@2200	70.3@2200	23.6@2200	613@1600	86.5@1600	21.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-A	6068	224@2400	127@2400	46.4@2400	1141@1600	160@1600	36.7@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-B	6068	224@2200	138@2200	46.3@2200	1141@1600	161@1600	39.3@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-C	6068	205@2400	116@2400	42.7@2400	1057@1600	147@1600	36@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-D	6068	205@2200	125@2200	42.1@2200	1057@1600	147@1600	36@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-E	6068	187@2400	106@2400	38.8@2400	1026@1600	143@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-F	6068	187@2200	117@2200	39.3@2200	1023@1600	147@1600	35.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFC09-G	6068	168@2400	101@2400	36.9@2400	995@1600	142@1600	34.7@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM

\* New rating added for running change



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12/20/2016

IO#: U-R-004-0516-1

1. Engine code	2. Engine Model	3. kW@RPM (SAE Gross)	4. Fuel Rate: mm <sup>3</sup> /stroke@peak kW (for diesel only)	5. Fuel Rate: (kg/hr)@peak kW (for diesels only)	6. Torque (Nm) @RPM (SEA Gross)	7. Fuel Rate: mm <sup>3</sup> /stroke@peak torque	8. Fuel Rate: (kW/hr)@peak torque	9. Emission Control Device Per SAE J1930
6068HFC09-H	6068	168@2200	106@2200	35.6@2200	999@1600	145@1600	35.4@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFG08-A	6068	180@1800	155@1800	42.8@1800				EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HFG08-B	6068	150@1800	112@1800	30.9@1800				EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HL503	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HL506	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HN068	6068	224@2400	128.1@2400	47@2400	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HPRNT6	6068	235@2400	137.6@2400	50.5@2400	1347@1600	188.8@1600	46.2@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HT103	6068	190@2100	122.6@2100	39.4@2100	1000@1700	140.3@1700	36.5@1700	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HT118	6068	190@2100	122@2100	39.2@2100	1025@1600	145.2@1600	35.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HT119	6068	190@2100	122.6@2100	39.4@2100	1000@1700	140.3@1700	36.5@1700	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HT120	6068	224@1900	156.5@1900	45.5@1900	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HT124	6068	190@2100	122@2100	39.2@2100	1025@1600	145.2@1600	35.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HTJ61	6068	190@2200	117.9@2200	39.6@2200	1025@1600	145.2@1600	35.5@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HTJ62	6068	224@2200	137@2200	46.1@2200	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068HTJ96	6068	190@2000	128@2000	39.2@2000	1025@1600	144@1600	35.3@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW430	6068	224@2100	142.4@2100	45.7@2100	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW431	6068	224@2100	142.4@2100	45.7@2100	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW434	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW435	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW436	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW437	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW438	6068	224@2100	142.4@2100	45.7@2100	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW439	6068	224@2100	142.4@2100	45.7@2100	1245@1600	174.1@1600	42.6@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM
6068RW440	6068	190@2100	122.5@2100	39.3@2100	1025@1600	142.7@1600	34.9@1600	EGR PTOX OC SCRC NH3OC DFI TC CAC ECM